

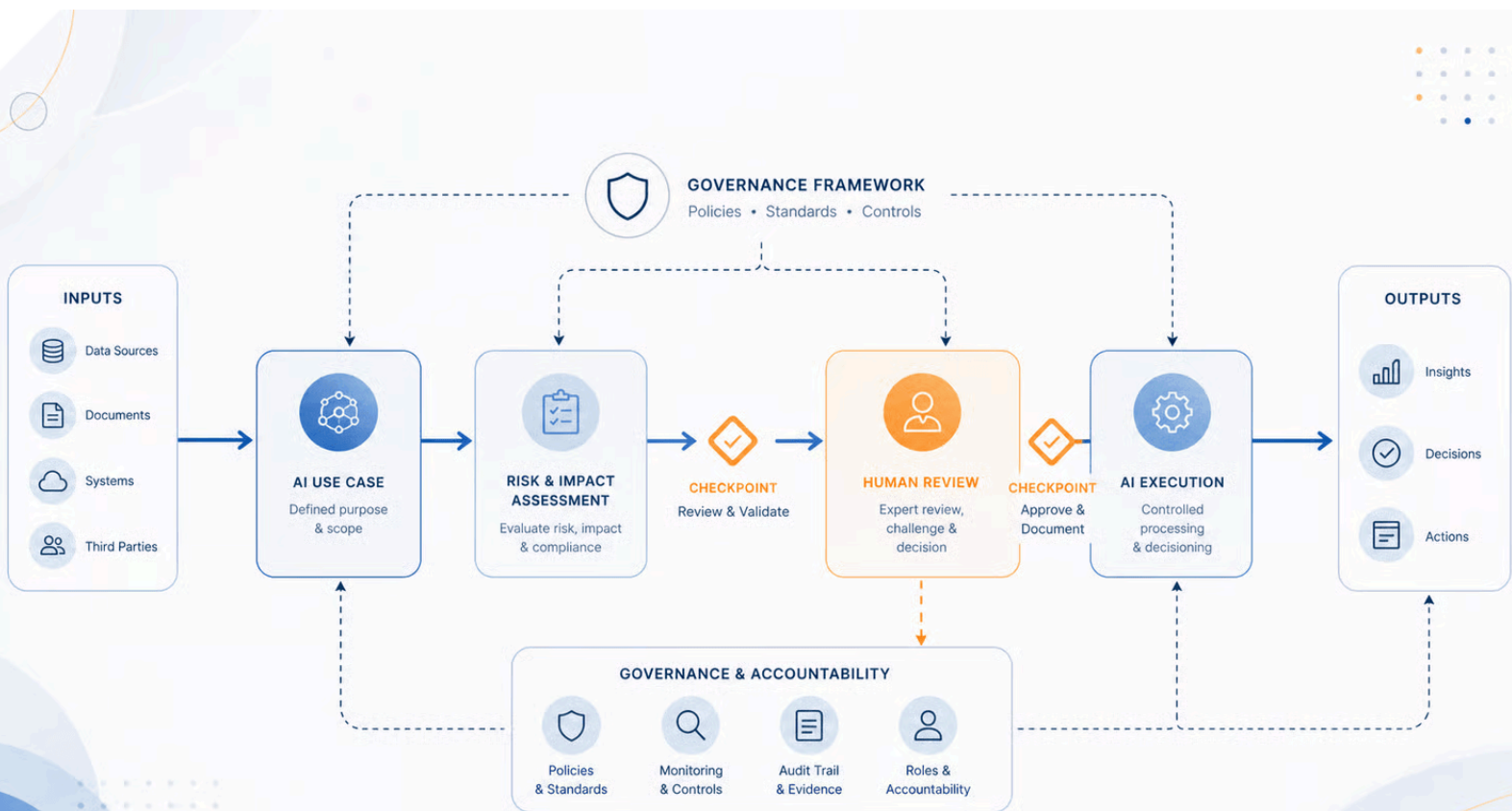


AI Governance Quick Check

Use cases, autonomy, data flows & risk

10 practical questions for AI governance

Version 1.0



How do you use this check?

Place your current AI inventory, AI use case list, policy documents or governance notes in front of you. Answer each question with: Yes, Partly or No

The 10 Practical Questions

Question	Answer options	Score
1. Do we know exactly where AI is being used and for what purpose? Check: Are AI systems and use cases identified, including AI functionality embedded in SaaS, security tools and supplier services?	Yes = 2 pt Partly = 1 pt No = 0 pt	
2. Does every AI agent/workflow use case have a clear owner? Check: Is someone accountable for its purpose, risk, operation and continued use?	Yes = 2 pt Partly = 1 pt No = 0 pt	
3. Do we understand the AI system's data flows? Check: Do we know what data enters the system, where it comes from, where it is processed, what leaves the system and which external parties receive it?	Yes = 2 pt Partly = 1 pt No = 0 pt	
4. Do we know how autonomous the AI system is? Check: Does it only provide information, make recommendations, take decisions or trigger actions without prior human approval?	Yes = 2 pt Partly = 1 pt No = 0 pt	
5. Have the risks and potential impacts been assessed for the specific AI use case? Check: Have we considered consequences for people, operations, security, privacy, compliance, safety and business continuity?	Yes = 2 pt Partly = 1 pt No = 0 pt	
6. Is human oversight defined in proportion to the risk and autonomy? Check: Do we know when a human must review, approve, reject, override or stop the AI system?	Yes = 2 pt Partly = 1 pt No = 0 pt	
7. Do we understand our dependency on models, platforms and AI suppliers? Check: Do we know which external models and services are used, what they can access, how they may change and what happens if they become unavailable?	Yes = 2 pt Partly = 1 pt No = 0 pt	

Question	Answer options	Score
8. Do we validate whether the AI system continues to perform as intended? <i>Check: Are output quality, errors, unexpected behaviour, drift and material performance changes monitored?</i>	Yes = 2 pt Partly = 1 pt No = 0 pt	
9. Are significant AI changes controlled and reassessed? <i>Check: Do changes to the model, data, prompts, integrations, autonomy or intended use trigger review and, where necessary, a new risk assessment?</i>	Yes = 2 pt Partly = 1 pt No = 0 pt	
10. Can we demonstrate how the AI system is governed? <i>Check: Can we produce evidence of ownership, decisions, risk assessments, approvals, monitoring, incidents, reviews and corrective actions?</i>	Yes = 2 pt Partly = 1 pt No = 0 pt	

Your Result

- 17–20 points (Strong AI governance baseline):** Your organisation can largely explain how AI use cases are identified, governed, monitored and controlled.
Next step: validate that the governance is current, documented and supported by evidence.
- 11–16 points (Further governance development needed):** The basic governance structure is present, but important AI decisions, controls or ownership are not yet fully defined or evidenced.
Next step: start with every question answered Partly or No.
- 0–10 points (AI governance review recommended):** Important AI governance decisions cannot yet be sufficiently explained, evidenced or linked to risk.
Next step: start by identifying AI use cases, assigning ownership, understanding data flows, defining oversight and assessing risk before expanding AI use further.

Regardless of the total score, a No on any of the following deserves priority attention:

- AI use cases are unknown
- ownership is unclear
- data flows are not understood
- autonomy is not defined
- risk has not been assessed
- human oversight is unclear
- supplier dependency is unknown
- governance cannot be evidenced

Disclaimer: This AI Governance Quick Check is a practical screening tool and does not demonstrate ISO/IEC 42001 conformity or replace a formal assessment or audit.

Questions about your result? Visit? **Feel free to get in touch: <https://cyber-busters.com/contact>**